

**證券/債券交收指示表格 Settlement Instruction Form (SI/ISI)**

致: 中州國際證券有限公司

To: Central China International Securities Co., Limited

日期 Date \_\_\_\_\_

Account Name: 客戶名稱			
Account No.: 客戶號碼			<input type="checkbox"/> Cash 現金戶口 <input type="checkbox"/> Margin 孖展戶口

Note 註:

1 Please tick where appropriate 請於適當處加上☐號。

 2 Instructions for same day settlement must reach Settlement Dept. **before 12:00noon** on the settlement day.

 如欲即日完成交收程序，務必於交收當日**中午十二時前**填妥此文件並且交付交收部

 **RECEIVE** the following securities/bonds for my/our securities account  
 請安排**收取**下列證券/債券並存入本人(等)之證券戶口

 **DELIVER** the following securities/bonds from my/our securities account  
 請由本人(等)之證券戶口**提取**下列證券/債券並安排交付

**Our Securities Instruction Details 中州國際證券交收資料:**

CCASS No 中央結算代碼: B01982

Contact Person 聯絡人: Settlement Dept. 交收部

Contact Tel No 聯絡人電話: +852 2500 1381

(For Bond Market) Euroclear No. : 13309

Name of Counter-party 交收對手名稱	Trading Date (Bond) 交易日期(債券)
Counterparty CCASS / Euroclear / Clearstream No. 交收對手結算代碼	Settlement Date 交收日期
Counter-party Contact Person Name 對手聯絡人姓名	Counter-party Contact No. 對手聯絡人電話
Customer A/C Name In Counter-party 客戶在對手之賬戶名稱	Customer A/C No. In Counterparty 客戶在對手之賬戶號碼
Market 市場 <input type="checkbox"/> HK 香港 <input type="checkbox"/> US 美國 <input type="checkbox"/> Other 其他 _____	

Stock/ISIN Code 股票/債券編號	Stock / Bond Name 股票/債券名稱	Quantity 數量	Method of Payment 付款方法*	Amount 金額 (HKD / USD / CNY) (港幣/美元/人民幣)	For Internal Use SI Fee (HKD / USD / CNY)
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> FOP		

 \* DVP = Delivery Versus Payment 貨銀對付  
 FOP = Free of Payment 無需付款。

 Total SI/ISI Fee:  
 合共: \_\_\_\_\_

Client's Signature/Authorized Signature(s) and/or Company Chop

客戶簽署/獲授權人士簽署及/或公司印章

(Specimen(s) of signature(s) or company chop should be identical to Account Opening Documents. 簽署或印章模式需與開戶文件一致)

本公司專用 For Office Use Only							
AE / CS 經紀人/客服	Signature Verified: 檢查簽署:	Risk Control Approval: 風控人員:	Responsible Officer Approval: 負責人員:	CCASS Inputted by 結算系統輸入:	CCASS Checked by: 結算系統查對:	Inputted by 系統輸入:	Checked by: 系統查對:
Date:	Date:	Date:	Date:	Date:	Date:	Date:	Date: